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PTO/SB/21 (08-03) Approved for use through 08/30/03. OMB 0651-0031 Ple## type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Ander the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. & TRADEMA **Application Number** 09/966.036 TRANSMITTAL September 28, 2001 Filing Date **FORM** First Named Inventor Dorrie M. Happ (to be used for all correspondence after initial filing) **Group Art Unit** 1618 **Examiner Name** Blessing M. Fubara Total Number of Pages in This Submission 15 **Attorney Docket Number** 50623.132 (excludina references) ENCLOSURES (check all that apply) Deposit Account 07-1850 After Allowance Communication to Assignment Papers Authorization (for an Application) Group Drawing(s) In/Formal Sheets with Appeal Communication to Board of Postage Paid Return Postcard Submission of Drawings Transmittal Appeals and Interferences Response To Office Action (9 Issue Fee Transmittal with PTO-85b Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) (in duplicate) pages) Request for Continued Examination Amendment Transmittal Letter (in Statement of Common Ownership (1 Transmittal (RCE) (1 page) (in duplicate) (2 pages) page) duplicate) Fee Transmittal (1 page) (in duplicate) Statement of Common Ownership Request for Status of Application (1 page) Power of Attorney, Revocation Other Petition for Extension of Time (1) Change of Correspondence Address month) (in duplicate) (2 pages) Supplemental Information Terminal Disclaimer Disclosure Statement ( pages) (in Request for Refund duplicate) with Form PTO-1449 ( pages) citing References Express Mail Label No. CD, Number of CD(s) EV 721 157 365 US Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Squire, Sanders & Dempsey L.L.P. Mark Lupkowski, Reg. No. 49,010 Individual name Signature Date October 11, 2005 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below: Typed or printed name Yayoi Barrack Signature Date October 11, 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Examiner:

Blessing M. Fubara

Dorrie M. Happ

Serial No.:

09/966,036

Art Unit:

1618

Filed:

September 28, 2001

Attorney's Docket Number: 50623.132

Title: A Medical Device Containing Light-Protected Therapeutic Agent And a Method

For Fabricating The Same

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## STATEMENT OF COMMON OWNERSHIP

Dear Examiner Fubara:

At the time the invention of the current application (U.S. Serial Number 09/966,036) was made, the inventions of the current application and Wu et al. (U.S. Patent No. 6,656,506) were owned by, or subject to an obligation of assignment to, Advanced Cardiovascular Systems, Inc., a California corporation.

Date: October 11, 2005

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Respectfully submitted,

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